



The Exchange of Ideas™

Home to the revolutionary exchange and clearing house RealBOT and RealClear

Application for Membership

MAKING KNOWINGLY INCORRECT OR MISLEADING STATEMENTS, AND/OR KNOWINGLY NOT DISCLOSING REQUESTED INFORMATION, IS SUBJECT TO REVOCATION OF MEMBERSHIP(S) WITHOUT REIMBURSEMENT AND MAY ALSO BE SUBJECT TO REGULATORY SANCTIONS.

Companies must be members of RealBOT LLC (the “**Exchange**”) to participate directly on the Exchange. Prior and ongoing association with an Exchange-approved Designated Self-Regulatory Organization (DSRO) (NFA, FINRA, or select DCM) is required to receive Exchange membership approval. Individual members (or their lessees) may access the Exchange only indirectly by submitting their orders through a Designated Market Facilitator. A potential purchaser (i.e., the prospective owner of the membership) or lessee must apply to, and be approved by, the Exchange before purchasing or leasing a membership (“**Applicant**”). Membership details are outlined on the demandderivatives.com website.

Definitions

For purposes of the Questionnaire section of this Application:

1. The term Applicant means a company or individual and includes the Applicant, and if applicable, all super-affiliated companies of that Applicant, such as a holding company or parent company, and all key officers, managing members, and directors of such Applicant or super-affiliated company;
2. The term “**Domestic**” refers to the United States and its territories and possessions;
3. The term “**Foreign**” refers to all non-U.S. countries, territories, or possessions.

ALL APPLICANTS ARE SUBJECT TO, AND AUTHORIZE DEMAND DERIVATIVES CORP. TO OBTAIN, INDEPENDENT BACKGROUND CHECKS.

Statement by Individual or Authorized Representative

I am the Applicant I am the authorized representative of the Applicant applying for membership to the Exchange and warrant the truthfulness of my answers to all questions on this application and to any other questions that may be asked by the staff of the Exchange or the Exchange’s Membership Committee. I agree to maintain the accuracy and completeness of the information contained in this application throughout the application process, until I am approved for membership, and as long as the membership is held, and I will provide updated information as necessary.

This application and all supporting documentation must be TYPEWRITTEN in English.

A non-refundable \$100 application fee must accompany this application.

PART I

(Select Membership Categories)

Please select the membership Category desired.

Steering Membership (SM)	All Commodity (AMC)	All Energy (AMN)	All Equity (AMQ)	All FX (AMF)	All Metals (AMM)	All Rates (AMR)
Full Membership (FM)						
All RealVol (IMV)	RealVol Comm	RealVol Energy	RealVol Equity	RealVol FX	RealVol Metals	RealVol Rates
All RealDay (IMD)	RealDay Comm	RealDay Energy	RealDay Equity	RealDay FX	RealDay Metals	RealDay Rates
All RealGlobe (IMG)	N/A	N/A	RealGlobe Equity	N/A	N/A	N/A
All RealLimit (IML)	RealLimit Comm	RealLimit Energy	RealLimit Equity	RealLimit FX	RealLimit Metals	RealLimit Rates

Note: If multiple memberships are desired, a separate membership application must be submitted for each.

Part II

(This section to be completed for individual membership only)

For an individual to receive the benefits of membership, such individual (or lessee) must be sponsored by a Designated Market Facilitator.

First Name

Last Name

SSN/TaxID

Personal Email

Mobile Phone

Date of Birth

Address

City

State

Postal Code

Country

DSRO Name and Membership Number

Release

The following page is a release that must be signed and authorizes Demand Derivatives Corp. ("Demand Derivatives" or "DDC"), parent company of the Exchange, its staff, and its affiliated companies to request background information on the individual applicant.

AUTHORIZATION AND RELEASE FOR INDIVIDUALS

Demand Derivatives Corp. and its subsidiary RealBOT LLC (the "Exchange") is conducting due diligence on ("I," "Me," or "Applicant").

I hereby expressly authorize the Exchange, its representatives, employees, and agents to obtain information about me from current and former employers, licensing authorities, law enforcement agencies, criminal records providers, address history vendors, professional accreditation or certification organizations, organizations providing electronic access to state and federal court proceedings, transcripts and records, and educational institutions (collectively the "Parties") and to verify the accuracy of all information provided by Me.

I hereby authorize and direct any commodity and/or security exchange of which I am or have been a member, to release to the Exchange all information concerning disciplinary action taken against Me, or a firm in which I am or was a principal.

I hereby authorize each of the Parties described above to release any and all information that the Exchange, its representatives, employees, or agents may request, and hereby release and discharge the Exchange, its representatives, employees, and agents, and all persons providing such information from all claims and liabilities of any nature in connection with the lawful business use of such information.

I further acknowledge and agree that a photocopy of this Authorization and Release may be considered as valid as the original.

Applicant:

Signature

Print Name

Date

Part III

(This section to be completed for company membership only)

Company Key Information

Official Name

dba Name

Category

Gov/Tax Number

DSRO Name and Membership Number

Company Physical Address (no PO Boxes)

Address 1

Address 2

City

State

Postal Code

Country

Main Phone

General Email

Mailing/Billing address (if different, PO Boxes allowed)

Address 1

Address 2

City

State

Postal Code

Country

Officer/Representative Contact Information

Please complete the following for the three highest-ranking officers in the company (e.g., CEO, president, general counsel, or similar).

Note: The authorized representative must be an officer of the Company and should be listed first.

Representative/Officer 1

First Name	Last Name	
Title		
SSN/TaxID		
Business Email	Personal Email	
Mobile Phone	Date of Birth	
Address		
City	State	Postal Code
Country		

Officer 2

First Name	Last Name	
Title		
SSN/TaxID		
Business Email	Personal Email	
Mobile Phone	Date of Birth	
Address		
City	State	Postal Code
Country		

Officer 3

First Name	Last Name
Title	
SSN/TaxID	

Business Email

Personal Email

Mobile Phone

Date of Birth

Address

City

State

Postal Code

Country

Company Release

The following page is a release that must be signed and allows Demand Derivatives Corp. ("Demand Derivatives" or "DDC"), its staff, and its affiliated companies to request background information on the company Applicant.

AUTHORIZATION AND RELEASE FOR COMPANIES

Demand Derivatives Corp. and its subsidiary RealBOT LLC (the "Exchange") is conducting due diligence on _____ (the "Applicant").

I, _____ whose title is _____ am authorized to represent the Applicant and its key officers and directors ("I," "Me," or "Authorized Representative"). The key officers and directors include Me and the following ("Key Individuals"):

As the Authorized Representative, I hereby expressly authorize the Exchange, its representatives, employees, and agents to contact and obtain information about the Member and Key Individuals (as applicable) from current and former employers, licensing authorities, law enforcement agencies, criminal records providers, address history vendors, professional accreditation or certification organizations, organizations providing electronic access to state and federal court proceedings, transcripts and records, and educational institutions (collectively the "Parties") and to verify the accuracy of all information provided by Me.

I hereby authorize and direct any commodity and/or security exchange of which the Applicant and Key Individuals are or have been a member, to release to the Exchange all information concerning disciplinary action taken against the Applicant or Key Individuals.

I hereby authorize each of the Parties described above to release any and all information that the Exchange, its representatives, employees, or agents may request, and hereby release and discharge the Exchange, its representatives, employees, and agents, and all persons providing such information from all claims and liabilities of any nature in connection with the lawful business use of such information.

I further acknowledge and agree that a photocopy of this Authorization and Release may be considered as valid as the original.

Authorized Representative:

Signature

Print Name

Title

Date

Part IV

(This section to be completed for all memberships)

Membership History

List any futures or securities exchanges (Foreign or Domestic), of which the Applicant is, or has ever been, a member.

Exchange1

Exchange Name

Exchange City

Exchange Category

Start Date

End Date

Clearing Member Firm

Reason for Selling/Leaving

Comments

Exchange3

Exchange Name

Exchange City

Exchange Category

Start Date

End Date

Clearing Member Firm

Reason for Selling/Leaving

Comments

Exchange2

Exchange Name

Exchange City

Exchange Category

Start Date

End Date

Clearing Member Firm

Reason for Selling/Leaving

Comments

Exchange4

Exchange Name

Exchange City

Exchange Category

Start Date

End Date

Clearing Member Firm

Reason for Selling/Leaving

Comments

Legal and Regulatory Questionnaire

When to Answer "Yes"

Please answer "Yes" to the following questions even if: adjudication of guilt was withheld or there was no conviction; there was a conditional discharge or post-conviction dismissal after successful completion of a sentence; a state certificate of relief from disabilities or similar document was issued relieving the holder of forfeitures, disabilities, or bars resulting from a conviction; the record was expunged or sealed; or a pardon was granted.

If the answer is "Yes" to any of the following questions, a separate typewritten statement must be attached giving complete details, as to dates, parties, circumstances, and disposition. Copies of official court documents, police reports, and regulatory notices must be included.

Questions

1. Has Applicant ever pled guilty or nolo contendere ("no contest") to or been convicted or found guilty of any felony (high crime) in any Domestic, Foreign, or military court?

Yes No

2. Has Applicant ever pled guilty to or been convicted or found guilty of any misdemeanor (petit crime) in any Domestic, Foreign, or military court that involves: embezzlement, theft, extortion, fraud, fraudulent conversion, forgery, counterfeiting, false pretenses, bribery, illegal gambling, mail fraud, racketeering, racketeering influence, or misappropriation of funds, securities or property; or willful failure to file a tax return, supply tax related information, or pay tax; or provided fraudulent statements, documents, or tax returns to taxing authorities; or any transaction in or advice concerning a tradable financial instrument?

Yes No

3. Has any case been brought by a Domestic or Foreign governmental body, against Applicant, seeking a permanent or temporary injunction against such Applicant engaging in or continuing any activity involving: any transaction in or advice concerning equity, debt obligations, futures, options on futures, options on equity, options on physical assets, over-the-counter instruments, or leverage transactions; or embezzlement, theft, extortion, fraud, fraudulent conversion, forgery, counterfeiting, false pretenses, bribery, gambling or misappropriation of funds, securities, or property?

Yes No

4. Has any case been brought by a Domestic or Foreign governmental body alleging that Applicant: has violated any provision of any investment-related statute or regulation; or has violated any statute, rule, regulation or order that involves embezzlement, theft, extortion, fraud, fraudulent conversion, forgery, counterfeiting, false pretenses, bribery, gambling or misappropriation of funds, securities or property; or has willfully aided,

abetted, counseled, commanded, induced, or procured such violation by any other person?

Yes No

5. Has Applicant ever been debarred, reprimanded, or sanctioned by any Domestic or Foreign governmental agency?

Yes No

6. Has Applicant ever been the subject of any order, complaint, or finding of a violation of any rule or regulation issued by a Domestic or Foreign regulatory authority or self-regulatory organization that prevented or restricted or would have prevented or restricted the Applicant's ability to engage in any business or activity or resulted or could have resulted in a fine issued against such Applicant?

Yes No

7. Has Applicant been refused admission to (including the denial, withdrawal, revocation or termination of an application for membership or registration), rejected, expelled, suspended, or fined, censured, or otherwise disciplined by the Commodity Futures Trading Commission (CFTC), the Securities Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA), the National Futures Association (NFA), or similar Foreign regulatory body?

Yes No

8. Is Applicant currently under investigation by any regulatory agency, exchange, or association?

Yes No

9. Has Applicant ever been disqualified from trading?

Yes No

10. Has Applicant been requested to relinquish trading privileges?

Yes No

11. Has Applicant been a party to any lawsuit, litigation, legal proceeding, or arbitration, whether settled, resolved, brought to judgment, or dismissed that has not been addressed by the foregoing (please omit minor offenses, credit-related disputes, bankruptcies, contract disputes, probate, and personal property disputes)?

Yes No

12. Has Applicant been subject to a disciplinary action or termination for cause by an employer that is under financial industry regulation?

Yes No

13. Has Applicant ever been accused, investigated, and/or convicted for violations of the Anti-Money Laundering statutes?

Yes No

14. Has Applicant been a party to any action, or is there a charge threatened (of which Applicant is aware) against Applicant, that has not been addressed by the foregoing?

Yes No

15. Has Applicant ever owned or controlled, or done business as, any other entity, or been known by any other name?

Yes No

Financial Information

Because all positions at the Exchange are fully collateralized, there is no need to submit financial information. However, financial information may be required for individuals sponsored by a Designated Market Facilitator.

Conditions of Membership

It shall be an offense against the Exchange to make a misstatement of a material fact to Demand Derivatives staff or on an application for membership.

I understand that a membership obtained by fraudulent representation or concealment, or by any material misstatement, shall be **REVOKED** and all paid membership fees shall be **FORFEITED**.

I do hereby agree that, if I am approved as a member of the Exchange, I will observe and be bound by the Bylaws, Rules and Regulations of the Exchange, and all amendments thereto.

I understand that Demand Derivatives may conduct, or cause to be conducted, an investigation into the Applicant's character, general reputation, and personal characteristics and that DDC may retain a consumer reporting agency for that purpose. I hereby authorize the Exchange to conduct an initial investigation and potentially annual investigations as to the credit, character, conduct, and general reputation of all Entities.

I hereby authorize and direct any commodity and/or security exchange and/or self-regulatory organization of which I am or have been a member, to release to Demand Derivatives all information concerning disciplinary action taken against me, or a firm in which I am or was a principal and any other background information in the possession of such exchange or organization.

I authorize and request any and all of my former employers and any other persons to furnish to DDC any information they may have concerning my character, ability, business activities, and reputation, financial background, and creditworthiness, together with, in the case of former employers, a history of any employment by them and the reasons for termination thereof; and I hereby release each such employer, partner, vendor, person, or similar entity from any and all liability of whatsoever nature for furnishing such information to Demand Derivatives.

Applicants applying for membership hereby consent to the use by Demand Derivatives and its affiliates of proprietary data or other personal information regarding the applicant/member received by DDC and its affiliates from time to time through the conduct of their businesses, including any data submitted to them to fulfill regulatory obligations, for commercial, business, and marketing purposes. Without the prior consent of the applicant/member, Demand Derivatives and its affiliates will not reveal to non-affiliated third parties on a non-aggregated, non-anonymized basis any of the following information that is obtained from the applicant/member to fulfill regulatory obligations: (i) personally identifiable information, (ii) detailed transaction data, (iii) position data, (iv) investigative materials, or (v) financial source documents, except (a) as necessary to fulfill its regulatory obligations, (b) as required or requested by regulatory authority, or (c) pursuant to a valid court order, subpoena or equivalent legal instrument.

Demand Derivatives will not recognize or administer any security agreement with respect to the ownership of this membership.

Furthermore, by signing this application, I confirm that I represent all entities and individuals encompassed in the definition of the term "Applicant" above and am not aware of any prohibition

against membership by any regulator (U.S. or otherwise) to which such entities or individuals are subject. In order to be eligible for membership, all Applicants represent that their request for membership is lawfully made in accordance with the laws of the jurisdiction in which they are located.

The Applicant, as representing all entities and individuals as defined above agrees to take no recourse against Demand Derivatives, its affiliates, any of its directors, officers, committee members, or employees by way of any and all manner of actions, suits, controversies, judgments, executions, claims or demands, in law or in equity, if the undersigned is not approved to be a member, except as may be permitted under Section 8c of the Commodity Exchange Act.

I, _____ as an individual _____ as the Authorized Representative of the Company, affirm that I have read and understand the contents of the foregoing statement and that all of the information contained hereinbefore is true, accurate, and complete.

Signature of Applicant

Date

Notary Signature

Subscribed and sworn before me this ____ day of _____ 20__.

Notary stamp or seal

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